

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
Inventor: Schlichting et al.)
Title: ANTI-PERCHING DEVICE)
FOR POST FRAME BUILDINGS)
Serial No. 09/740,105) Examiner:
Filing Date: 12/18/2000) Group Art Unit:

RESPONSE TO "NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)"

BOX Missing Parts
Assistant Commissioner of Patents
Attention: Customer Service Center
Initial Patent Examination Division
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage as first class mail, in an envelope addressed to: Attention: Box Missing Parts, Assistant Commissioner of Patents, Washington, D.C. 20231 on August 1, 2001

By Keith Frantz 8-1-01
Keith Frantz

Sir:

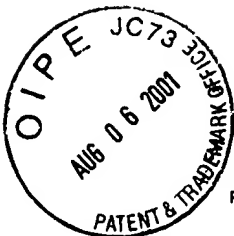
In response to the NOTICE OF INCOMPLETE REPLY
(NONPROVISIONAL) MAILED ON 06/22/2001, included herewith is:

1. A copy (Part 2) of said Notice as required therein.
2. Substitute drawings with appropriate margins in compliance with 37 CFR 1.84.
3. A check (#2501) in the amount of \$ 445.00 to cover the extension of time for this filing.

Respectfully submitted,

Date: 8-1-01

Keith Frantz
Keith Frantz, Reg. No. 37828
401 West State Street, Suite 200
Rockford, Illinois 61101
(815) 987-9820
(815) 987-9869 [fax]



Please type a plus sign (+) inside this box → ☐ +

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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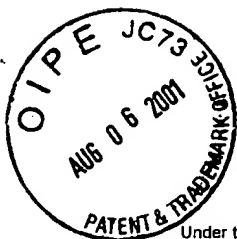
| | | | |
|--|-----------------------------|-------------------------------|--|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/740,105 | |
| | Filing Date | 12/18/2000 | |
| | First Named Inventor | Schlichting | |
| | Group Art Unit | | |
| | Examiner Name | | |
| Total Number of Pages in This Submission | 1 + 16 | Attorney Docket Number | |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form (-1- page) | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input checked="" type="checkbox"/> Drawing(s) (-12 - sheets) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request (-1- page) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Copy (Part 2) of Notice of Incomplete Reply (Non-provisional) -1- page |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application (-1- page) | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|---|---------------------|
| Firm or Individual name | Keith Frantz |
| Signature | <i>Keith Frantz</i> |
| Date | 8-1-01 |

| CERTIFICATE OF MAILING | | | |
|---|---------------------|------|--------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 8-1-01 | | | |
| Typed or printed name | Keith frantz | | |
| Signature | <i>Keith Frantz</i> | Date | 8-1-01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 445.00

Complete if Known

| | |
|----------------------|-------------|
| Application Number | 09/740,105 |
| Filing Date | 12/18/2000 |
| First Named Inventor | Schlichting |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|------------------------|----------|
| 101 710 | 201 355 | Utility filing fee | |
| 106 320 | 206 160 | Design filing fee | |
| 107 490 | 207 245 | Plant filing fee | |
| 108 710 | 208 355 | Reissue filing fee | |
| 114 150 | 214 75 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|--|----------|
| 103 18 | 203 9 | Claims in excess of 20 | |
| 102 80 | 202 40 | Independent claims in excess of 3 | |
| 104 270 | 204 135 | Multiple dependent claim, if not paid | |
| 109 80 | 209 40 | ** Reissue independent claims over original patent | |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|---------------|---------------|--|----------|
| 105 130 | 205 65 | | | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | | | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | | | Non-English specification | |
| 147 2,520 | 147 2,520 | | | For filing a request for <i>ex parte</i> reexamination | |
| 112 920* | 112 920* | | | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | | | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | | | Extension for reply within first month | |
| 116 390 | 216 195 | | | Extension for reply within second month | |
| 117 890 | 217 445 | | | Extension for reply within third month | 445 |
| 118 1,390 | 218 695 | | | Extension for reply within fourth month | |
| 128 1,890 | 228 945 | | | Extension for reply within fifth month | |
| 119 310 | 219 155 | | | Notice of Appeal | |
| 120 310 | 220 155 | | | Filing a brief in support of an appeal | |
| 121 270 | 221 135 | | | Request for oral hearing | |
| 138 1,510 | 138 1,510 | | | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | | | Petition to revive - unavoidable | |
| 141 1,240 | 241 620 | | | Petition to revive - unintentional | |
| 142 1,240 | 242 620 | | | Utility issue fee (or reissue) | |
| 143 440 | 243 220 | | | Design issue fee | |
| 144 600 | 244 300 | | | Plant issue fee | |
| 122 130 | 122 130 | | | Petitions to the Commissioner | |
| 123 50 | 123 50 | | | Processing fee under 37 CFR 1.17(q) | |
| 126 180 | 126 180 | | | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | | | Recording each patent assignment per property (times number of properties) | |
| 146 710 | 246 355 | | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 710 | 249 355 | | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 710 | 279 355 | | | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | | | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 445.00

SUBMITTED BY

Name (Print/Type) Keith Frantz

Signature *Keith Frantz*

Registration No. (Attorney/Agent)

37828

Complete (if applicable)

Telephone 815 - 987 - 9820

Date 8-1-01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|------------------------|------------------------|
| 09/740,105 | 12/18/2000 | Michael J. Schlichting | |

CONFIRMATION NO. 5257

FORMALITIES LETTER



OC000000006216104

20606
KEITH FRANTZ
401 WEST STATE STREET
SUITE 200
ROCKFORD, IL 61101

Date Mailed: 06/22/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE